

AUG 20 2008

08/18/2008 17:27 FAX 017 498 7017

TIAAX LLC

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PTO/SB/123 (01-06)

Approved for use through 12/31/2008. OMB 0651-0035

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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CHANGE OF CORRESPONDENCE ADDRESS Patent Address to: Mail Stop Post Issue Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450	Patent Number	6,855,461
	Issue Date	02-15-05
	Application Number	10/073,678
	Filing Date	02-11-02
	First Named Inventor	Christina Lampe-Dugan
	Attorney Docket Number	T2001-9008

Please change the Correspondence Address for the above-identified patent to:

☒ The address associated with Customer Number:

121 675

OR

☒ Firm or
Individual Name

TIAAX LLC

Address 15 Acorn Park

City Cambridge

State MA

ZIP 02140

Country USA

Telephone 617-498-5250

Email andon.n@TIAAXLLC.COM

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I am the:

☐ Patentee.☒ Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).☐ Attorney or agent of record. Registration Number _____

Signature

Typed or

Printed Name

Date

Telephone

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☐ Total of _____ forms are submitted.

This collection of information is required by 37 CFR 1.33. The information is required to obtain or retain a benefit by the public which is to the (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Post Issue, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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From gonit
08/22/08

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08/19/2008 17:27 FAX 017 498 7017

TIAX LLC

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ASSIGNMENT

Whereas, Kureha Corporation, a Japanese corporation, having a principal place of business at 3-3-2, Nihonbashi-Hamacho, Chuo-ku, Tokyo 103-8552, Japan (KUREHA) is the owner of the entire right, title and interest in and to the patents, patent applications, and inventions identified or set forth in Appendix A attached hereto (Patent Rights);

Whereas, TIAX LLC, a Delaware corporation, having a place of business at 15 Acorn Park, Cambridge, Massachusetts 02140-2390 (TIAX) desires to acquire all rights in said Patent Rights, and any and all patents of all other countries which may be granted for the said inventions, or any of them;

Now, therefore, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, and subject to the Patent Assignment Agreement dated April 1, 2008, KUREHA does hereby sell, assign and transfer to TIAX, KUREHA's entire right, title and interest in and to the Patent Rights and any and all patents of all other countries which may be granted for the said inventions, or any of them, as set forth in Appendix A attached hereto;

TIAX, its successors and assigns, shall have, hold and enjoy the Patent Rights to its own use and benefit as fully and entirely as the same would have been held and enjoyed by KUREHA had this assignment and sale not been made, including the right to sue for past infringement.

KUREHA CORPORATION

5 August 2008

Date

M. Shigeta
Printed Name: Masatomo Shigeta
Title: Executive Vice President

5 August 2008

Date

Witness

5 August 2008

Date

TIAX LLC

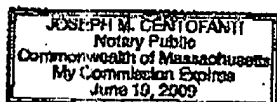
KEVAN JAHIN
Printed Name: KEVAN JAHIN
Title: President

STATE OF MASSACHUSETTS:
COUNTY OF MIDDLESEX:

Subscribed and sworn to before me this 5th day of AUGUST 2008
SEAL

Joseph M. Centofanti
Notary Public

My Commission Expires: JUNE 19, 2009



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TIAX LLC

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STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: TIAX LLC
 Application No./Patent No.: 10/073,678 Filed/Issue Date: 02/11/2002
6,855,461 02/15/2005
 Entitled: "Cathode Material for Lithium Rechargeable Batteries"
TIAX LLC, a limited liability company
 (Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
 2. ☐ an assignee of less than the entire right, title and interest
 (The extent (by percentage) of its ownership interest is _____ %)

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 021354, Frame 0120, or for which a copy thereof is attached.
 OR
 B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: _____ To: _____
 The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
 2. From: _____ To: _____
 The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
 3. From: _____ To: _____
 The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.☐ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

(NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.06)

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Joseph M. Centofanti
 Signature
 Joseph M. Centofanti
 Printed or Typed Name
 General Counsel
 Title

8-19-08
 Date
617-498-5623
 Telephone Number

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1400, Alexandria, VA 22313-1400. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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AUG 20 2008

08/19/2008 17:29 FAX 617 498 7017

TIAX LLC

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ASSIGNMENT

Whereas, Kureha Corporation, a Japanese corporation, having a principal place of business at 3-3-2, Nihonbashi-Hamacho, Chuo-ku, Tokyo 103-8552, Japan (KUREHA) is the owner of the entire right, title and interest in and to the patents, patent applications, and inventions identified or set forth in Appendix A attached hereto (Patent Rights);

Whereas, TIAX LLC, a Delaware corporation, having a place of business at 15 Acorn Park, Cambridge, Massachusetts 02140-2390 (TIAX) desires to acquire all rights in said Patent Rights, and any and all patents of all other countries which may be granted for the said inventions, or any of them;

Now, therefore, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, and subject to the Patent Assignment Agreement dated April 1, 2008, KUREHA does hereby sell, assign and transfer to TIAX, KUREHA's entire right, title and interest in and to the Patent Rights and any and all patents of all other countries which may be granted for the said inventions, or any of them, as set forth in Appendix A attached hereto;

TIAX, its successors and assigns, shall have, hold and enjoy the Patent Rights to its own use and benefit as fully and entirely as the same would have been held and enjoyed by KUREHA had this assignment and sale not been made, including the right to sue for past infringement.

KUREHA CORPORATION

5 AUGUST 2008
Date

M. Shigeta
Printed Name: Masatomo Shigeta
Title: Executive Vice President

5 AUGUST 2008
Date

[Signature]
Witness

5 AUGUST 2008
Date

TIAX LLC
[Signature]
Printed Name: KEMAN SAHIN
Title: PRESIDENT

STATE OF MASSACHUSETTS:
COUNTY OF MIDDLESEX:

Subscribed and sworn to before me this 5th day of AUGUST 2008
SEAL

[Signature]
Notary Public
My Commission Expires: JUNE 19 2009

